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Energy-Based Models for Deep Probabilistic Regression

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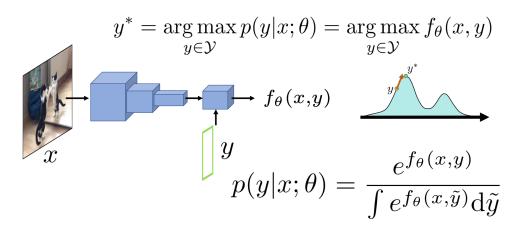




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We achieve state-of-the-art regression performance on four diverse tasks by employing energy-based models (EBMs) within a probabilistic formulation. Our proposed approach is conceptually simple and straightforward to both implement and train.



Outline



- 1. Background: Regression using Deep Neural Networks
 - 1.1 Direct Regression
 - 1.2 Probabilistic Regression
 - 1.3 Confidence-Based Regression
- 2. Proposed Regression Method
 - 2.1 Training
 - 2.2 Prediction
- 3. Experiments
 - 3.1 Object Detection
 - 3.2 Visual Tracking
 - 3.3 Age & Head-Pose Estimation

1. Background: Regression using Deep Neural Networks



Supervised Regression: learn to predict a continuous target value $y^* \in \mathcal{Y} = \mathbb{R}^K$ from a corresponding input $x^* \in \mathcal{X}$, given a training set \mathcal{D} of i.i.d. input-target examples, $\mathcal{D} = \{(x_i, y_i)\}_{i=1}^N$, $(x_i, y_i) \sim p(x, y)$.

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Deep Neural Network (DNN): a function $f_{\theta}: \mathcal{U} \to \mathcal{O}$, parameterized by $\theta \in \mathbb{R}^P$, that maps an input $u \in \mathcal{U}$ to an output $f_{\theta}(u) \in \mathcal{O}$.



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The DNN model parameters θ are learned by minimizing a loss function $\ell(f_{\theta}(x_i), y_i)$, penalizing discrepancy between the prediction $f_{\theta}(x_i)$ and the ground truth y_i :

$$J(\theta) = \frac{1}{N} \sum_{i=1}^{N} \ell(f_{\theta}(x_i), y_i), \quad \theta = \underset{\theta'}{\operatorname{argmin}} J(\theta').$$



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For example, the L^2 loss corresponds to a fixed-variance Gaussian model (1D case): $p(y|x;\theta) = \mathcal{N}(y;f_{\theta}(x),\sigma^2).$



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Probabilistic Regression: train a DNN $f_{\theta}: \mathcal{X} \to \mathcal{O}$ to predict the parameters ϕ of a certain family of probability distributions $p(y; \phi)$, then model p(y|x) with:

$$p(y|x;\theta) = p(y;\phi(x)), \quad \phi(x) = f_{\theta}(x).$$

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For example, a general 1D Gaussian model can be realized as:

$$p(y|x;\theta) = \mathcal{N}(y;\mu_{\theta}(x), \sigma_{\theta}^{2}(x)), \quad f_{\theta}(x) = [\mu_{\theta}(x) \log \sigma_{\theta}^{2}(x)]^{\mathsf{T}} \in \mathbb{R}^{2}.$$



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The negative log-likelihood $\sum_{i=1}^{N} -\log p(y_i|x_i;\theta)$ then corresponds to the loss:

$$J(\theta) = \frac{1}{N} \sum_{i=1}^{N} \frac{(y_i - \mu_{\theta}(x_i))^2}{\sigma_{\theta}^2(x_i)} + \log \sigma_{\theta}^2(x_i).$$



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Commonly employed for image-coordinate regression, e.g. human pose estimation [15], where the DNN predicts a 2D confidence heatmap over image-coordinates y. Recently, the approach was also employed by IoU-Net [7] for bounding box regression in object detection, which in turn was utilized by the ATOM [4] visual tracker.

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EBMs for Deep Probabilistic Regression: train a DNN $f_{\theta}: \mathcal{X} \times \mathcal{Y} \to \mathbb{R}$ to predict a scalar value $f_{\theta}(x, y)$, then model p(y|x) with the EBM:

$$p(y|x;\theta) = \frac{e^{f_{\theta}(x,y)}}{Z(x,\theta)}, \quad Z(x,\theta) = \int e^{f_{\theta}(x,\tilde{y})} d\tilde{y}.$$



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$$\begin{aligned}
-\log p(y_i|x_i;\theta) &= \log \left(\int e^{f_{\theta}(x_i,y)} dy \right) - f_{\theta}(x_i,y_i) \\
&= \log \left(\int \frac{e^{f_{\theta}(x_i,y)}}{q(y)} q(y) dy \right) - f_{\theta}(x_i,y_i) \\
&\approx \log \left(\frac{1}{M} \sum_{k=1}^{M} \frac{e^{f_{\theta}(x_i,y^{(k)})}}{q(y^{(k)})} \right) - f_{\theta}(x_i,y_i), \quad y^{(k)} \sim q(y).
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We use a proposal distribution $q(y) = q(y|y_i) = \frac{1}{L} \sum_{l=1}^{L} \mathcal{N}(y; y_i, \sigma_l^2)$ that depends on the ground truth target y_i .



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$$J(\theta) = \frac{1}{N} \sum_{i=1}^{N} \log \left(\frac{1}{M} \sum_{m=1}^{M} \frac{e^{f_{\theta}(x_{i}, y^{(i,m)})}}{q(y^{(i,m)}|y_{i})} \right) - f_{\theta}(x_{i}, y_{i}), \quad \{y^{(i,m)}\}_{m=1}^{M} \sim q(y|y_{i}).$$

2.1 Training - Illustrative 1D Regression Problem



Our EBM $p(y|x;\theta) = e^{f_{\theta}(x,y)}/Z(x,\theta)$ is highly flexible and can learn complex target densities directly from data, including multi-modal and asymmetric densities.

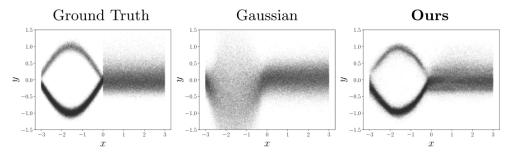


Figure 2: An illustrative 1D regression problem. The training data $\{(x_i, y_i)\}_{i=1}^{2000}$ is generated by the ground truth conditional target density p(y|x).

2.2 Prediction



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Given an input x^* at test time, we predict the target y^* by maximizing $p(y|x^*;\theta)$:

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By designing the DNN f_{θ} to be differentiable w.r.t. targets y, the gradient $\nabla_{y} f_{\theta}(x^{*}, y)$ can be efficiently evaluated using auto-differentiation. We can thus perform *gradient* ascent to find a local maximum of $f_{\theta}(x^{*}, y)$, starting from an initial estimate \hat{y} .

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Algorithm S1 Prediction via gradient-based refinement.

```
Input: x^*, \hat{y}, T, \lambda, \eta.

1: y \leftarrow \hat{y}.

2: for t = 1, \dots, T do

3: PrevValue \leftarrow f_{\theta}(x^*, y).

4: \tilde{y} \leftarrow y + \lambda \nabla_y f_{\theta}(x^*, y).

5: NewValue \leftarrow f_{\theta}(x^*, \tilde{y}).

6: if NewValue > PrevValue then

7: y \leftarrow \tilde{y}.

8: else

9: \lambda \leftarrow \eta \lambda.

10: Return y.
```

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Our approach significantly outperforms the state-of-the-art confidence-based IoU-Net [7] method for bounding box regression in *direct comparisons*, both when applied for object detection on COCO [10], and in the ATOM [4] visual tracker.

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In contrast to confidence-based methods, our approach is shown to also be *directly* applicable to more general tasks such as age and head-pose estimation.

3.1 Object Detection



Object Detection: when applied to refine the Faster-RCNN detections on COCO, our approach both significantly improves the original detections and outperforms IoU-Net.

Formulation Approach	Direct Faster-RCNN [9]	Gaussian	Gaussian Mixt. 2	Gaussian Mixt. 4	Gaussian Mixt. 8	Gaussian cVAE	Laplace	Confidence IoU-Net [7]	Confidence IoU-Net*	Ours
AP (%)	37.2	36.7	37.1	37.0	36.8	37.2	37.1	38.3	38.2	39.4
$AP_{50}(\%)$	59.2	58.7	59.1	59.1	59.1	59.2	59.1	58.3	58.4	58.6
$AP_{75}(\%)$	40.3	39.6	40.0	39.9	39.7	40.0	40.2	41.4	41.4	42.1
FPS	12.2	12.2	12.2	12.1	12.1	9.6	12.2	5.3	5.3	5.3

3.2 Visual Tracking



Visual Tracking: when applied to refine the initial estimate provided by the classifier in ATOM, our approach significantly outperforms the original IoU-Net-based method. Our approach also outperforms other state-of-the-art trackers.

Dataset	Metric	ECO [5]	SiamFC [1]	MDNet [13]	UPDT [2]	DaSiamRPN [17]	SiamRPN++ [8]	ATOM [4]	ATOM*	Ours
TrackingNet [12]	Precision (%)	49.2	53.3	56.5	55.7	59.1	69.4	64.8	66.6	69.7
	Norm. Prec. (%)	61.8	66.6	70.5	70.2	73.3	80.0	77.1	78.4	80.1
	Success (%)	55.4	57.1	60.6	61.1	63.8	73.3	70.3	72.0	74.5
UAV123 [11]	OP _{0.50} (%)	64.0	-	-	66.8	73.6	75 [†]	78.9	79.0	80.8
	OP _{0.75} (%)	32.8	-	-	32.9	41.1	56 [†]	55.7	56.5	60.2
	AUC (%)	53.7	-	52.8	55.0	58.4	61.3	65.0	64.9	67.2

3.3 Age & Head-Pose Estimation



Age Estimation: refinement using our proposed method consistently improves MAE (lower is better) for the age predictions outputted by a number of baselines.

+Refine	Niu et al. [14]	Cao et al. [3]	Direct	Gaussian Laplace		Softmax (CE, L^2)	Softmax (CE, L ² , Var)	
	5.74 ± 0.05	5.47 ± 0.01	4.81 ± 0.02	4.79 ± 0.06	4.85 ± 0.04	4.78 ± 0.05	4.81 ± 0.03	
✓	-	-	$\textbf{4.65}\pm0.02$	4.66 ± 0.04	4.81 ± 0.04	$\textbf{4.65}\pm0.04$	4.69 ± 0.03	

Head-Pose Estimation: refinement using our method consistently improves the average MAE for yaw, pitch and roll for the predicted pose outputted by our baselines.

+Refine	Gu et al. [6]	Yang et al. [16]	Direct	Gaussian	Laplace	Softmax (CE, L^2)	Softmax (CE, L ² , Var)
	3.66	3.60	3.09 ± 0.07	3.12 ± 0.08	3.21 ± 0.06	3.04 ± 0.08	3.15 ± 0.07
	-	-	3.07 ± 0.07	3.11 ± 0.07	3.19 ± 0.06	$\textbf{3.01}\pm0.07$	3.11 ± 0.06

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