

# DCTD: Deep Conditional Target Densities for Accurate Regression

Fredrik K. Gustafsson Uppsala University

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#### DCTD: Deep Conditional Target Densities for Accurate Regression

Fredrik K. Gustafsson\*, Martin Danelljan\* (ETH Zurich), Goutam Bhat (ETH Zurich), Thomas B. Schön

We propose Deep Conditional Target Densities (DCTD), a novel and general regression method with a clear probabilistic interpretation. DCTD models the conditional target density p(y|x) by using a neural network to directly predict the un-normalized density from the input-target pair (x, y). This model of p(y|x) is trained by minimizing the associated negative log-likelihood, approximated using Monte Carlo sampling. Notably, our method achieves a 1.9% AP improvement over Faster-RCNN for object detection on COCO, and sets a new state-of-the-art on visual tracking when applied for bounding box regression.



### Outline



- 1. Background: regression using deep neural networks
  - 1.1 Direct regression
  - 1.2 Probabilistic regression
  - 1.3 Confidence-based regression
- 2. Deep Conditional Target Densities (DCTD) for accurate regression
  - 2.1 Training
  - 2.2 Prediction
- 3. Experiments
  - 3.1 Age estimation, head-pose estimation, object detection
  - 3.2 Generic visual object tracking



**Supervised regression:** learn to predict a continuous target value  $y^* \in \mathcal{Y} = \mathbb{R}^K$  from a corresponding input  $x^* \in \mathcal{X}$ , given a training set  $\mathcal{D}$  of i.i.d. input-target examples,  $\mathcal{D} = \{(x_i, y_i)\}_{i=1}^N, (x_i, y_i) \sim p(x, y)$ .



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**Deep neural network (DNN):** a function  $f_{\theta} : \mathcal{U} \to \mathcal{O}$ , parameterized by  $\theta \in \mathbb{R}^{P}$ , that maps an input  $u \in \mathcal{U}$  to an output  $f_{\theta}(u) \in \mathcal{O}$ .





The DNN model parameters  $\theta$  are learned by minimizing a loss function  $\ell(f_{\theta}(x_i), y_i)$ , penalizing discrepancy between the prediction  $f_{\theta}(x_i)$  and the ground truth  $y_i$ :

$$J(\theta) = \frac{1}{N} \sum_{i=1}^{N} \ell(f_{\theta}(x_i), y_i), \quad \theta = \underset{\theta'}{\operatorname{argmin}} J(\theta').$$



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Minimizing  $J(\theta)$  then corresponds to minimizing the *negative log-likelihood*  $\sum_{i=1}^{N} -\log p(y_i|x_i; \theta)$ , for a specific model  $p(y|x; \theta)$  of the conditional target density.



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For example, the  $L^2$  loss corresponds to a fixed-variance Gaussian model (1D case):  $p(y|x; \theta) = \mathcal{N}(y; f_{\theta}(x), \sigma^2).$ 





**Probabilistic regression:** train a DNN  $f_{\theta} : \mathcal{X} \to \mathcal{O}$  to predict the parameters  $\phi$  of a certain family of probability distributions  $p(y; \phi)$ , then model p(y|x) with:  $p(y|x; \theta) = p(y; \phi(x)), \quad \phi(x) = f_{\theta}(x).$ 

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For example, a general 1D Gaussian model can be realized as:  $p(y|x;\theta) = \mathcal{N}(y;\mu_{\theta}(x), \sigma_{\theta}^{2}(x)), \quad f_{\theta}(x) = [\mu_{\theta}(x) \quad \log \sigma_{\theta}^{2}(x)]^{\mathsf{T}} \in \mathbb{R}^{2}.$ 



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$$J( heta) = rac{1}{N}\sum_{i=1}^N rac{(y_i-\mu_ heta(x_i))^2}{\sigma_ heta^2(x_i)} + \log \sigma_ heta^2(x_i).$$



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**Confidence-based regression:** train a DNN  $f_{\theta} : \mathcal{X} \times \mathcal{Y} \to \mathbb{R}$  to predict a scalar confidence value  $f_{\theta}(x, y)$ , and maximize this quantity over y to predict the target:  $y^* = \underset{y}{\operatorname{argmax}} f_{\theta}(x^*, y)$ 

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Commonly employed for image-coordinate regression, e.g. human pose estimation [11], where the DNN predicts a 2D confidence heatmap over image-coordinates y. Recently, the approach was also employed by IoU-Net [4] for bounding box regression in object detection, which in turn was utilized by the ATOM [3] visual tracker.

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While **confidence-based regression** methods have demonstrated impressive results, they require important task-dependent design choices (e.g. how to generate the pseudo ground truth labels) and usually lack a clear probabilistic interpretation.





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$$\begin{aligned} -\log p(y_i|x_i;\theta) &= \log \left( \int e^{f_{\theta}(x_i,y)} dy \right) - f_{\theta}(x_i,y_i) \\ &= \log \left( \int \frac{e^{f_{\theta}(x_i,y)}}{q(y)} q(y) dy \right) - f_{\theta}(x_i,y_i) \\ &\approx \log \left( \frac{1}{M} \sum_{k=1}^{M} \frac{e^{f_{\theta}(x_i,y^{(k)})}}{q(y^{(k)})} \right) - f_{\theta}(x_i,y_i), \quad y^{(k)} \sim q(y). \end{aligned}$$



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We use a proposal distribution  $q(y) = q(y|y_i) = \frac{1}{L} \sum_{l=1}^{L} \mathcal{N}(y; y_i, \sigma_l^2)$  that depends on the ground truth target  $y_i$ .



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$$J(\theta) = \frac{1}{N} \sum_{i=1}^{N} \log \left( \frac{1}{M} \sum_{m=1}^{M} \frac{e^{f_{\theta}(x_i, y^{(i,m)})}}{q(y^{(i,m)}|y_i)} \right) - f_{\theta}(x_i, y_i), \quad \{y^{(i,m)}\}_{m=1}^{M} \sim q(y|y_i).$$



The DCTD model  $p(y|x; \theta) = e^{f_{\theta}(x,y)}/Z(x, \theta)$  is highly flexible and can learn complex target densities directly from data, including multi-modal and asymmetric densities.



**Figure 2:** An illustrative 1D regression problem. The training data  $\{(x_i, y_i)\}_{i=1}^{2000}$  is generated by the ground truth conditional target density p(y|x).



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Given an input  $x^*$  at test time, we predict the target  $y^*$  by maximizing  $p(y|x^*; \theta)$ :

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By designing the DNN  $f_{\theta}$  to be differentiable w.r.t. targets y, the gradient  $\nabla_y f_{\theta}(x^*, y)$  can be efficiently evaluated using auto-differentiation. We can thus perform gradient ascent to find a local maximum of  $f_{\theta}(x^*, y)$ , starting from an initial estimate  $\hat{y}$ .








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Algorithm 1 Prediction via optimization-based refinement

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We evaluate DCTD on four diverse computer vision regression tasks: **age estimation**, **head-pose estimation**, **object detection** and **generic visual object tracking**.



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DCTD outperforms the confidence-based IoU-Net [4] method for bounding box regression in direct comparisons, both when applied in object detection on the COCO dataset [6], and in the state-of-the-art ATOM [3] visual tracker.



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(IoU-Net trains a DNN  $f_{\theta} : \mathcal{X} \times \mathcal{Y} \to \mathbb{R}$  to predict the IoU overlap between a bounding box y and the corresponding ground truth  $y_i$ . For training, boxes are sampled around  $y_i$  and the difference between predicted and true IoU is minimized. For prediction, an initial estimate  $\hat{y}$  is refined using gradient-based maximization of the predicted IoU.)



**Age estimation:** refinement using DCTD consistently improves MAE (lower is better) for the age predictions outputted by a number of baselines.

+DCTD	Cao et al. [ <mark>2</mark> ]	Direct	Gaussian	Laplace	Softmax (CE, $L^2$ )	Softmax (CE, <i>L</i> <sup>2</sup> , Var)
	$5.47\pm0.01$	$4.81\pm0.02$	$4.79\pm0.06$	$4.85\pm0.04$	$4.78\pm0.05$	$4.81\pm0.03$
$\checkmark$	-	$\textbf{4.65} \pm 0.02$	$4.66\pm0.04$	$4.81\pm0.04$	$\textbf{4.65} \pm 0.04$	$4.69\pm0.03$



**Head-pose estimation:** refinement using DCTD consistently improves the average MAE for Yaw, Pitch and Roll for the predicted pose outputted by our baselines.

+DCTD	Yang et al. [12]	Direct	Gaussian	Laplace	Softmax (CE, $L^2$ )	Softmax (CE, L <sup>2</sup> , Var)
	3.60	$3.09\pm0.07$	$3.12\pm0.08$	$3.21\pm0.06$	$3.04\pm0.08$	$3.15\pm0.07$
$\checkmark$	-	$3.07\pm0.07$	$3.11\pm0.07$	$3.19\pm0.06$	$\textbf{3.01} \pm 0.07$	$3.11\pm0.06$



**Object detection:** when applied to refine the Faster-RCNN detections on COCO [6], DCTD both improves the original detections and outperforms the IoU-Net refinement.

Formulation Approach	Direct Faster-RCNN [10]	Gaussian	Laplace	Confidence IoU-Net [4]		DCTD
AP (%)	37.2	36.7	37.1	38.3	38.2	39.1
AP <sub>50</sub> (%)	59.2	58.7	59.1	58.3	58.4	58.5
AP <sub>75</sub> (%)	40.3	39.6	40.2	41.4	41.4	41.8



**Generic visual object tracking:** given *any* target object defined by a bounding box in the first frame of a video, estimate its bounding box in all subsequent video frames.



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ATOM [3] trains a classifier *online* to first roughly localize the target object in a new frame. Its bounding box is then estimated by using an IoU-Net, trained *offline*, to refine this initial estimate.

Video: https://youtu.be/UP\_eLvwskzU



**Results:** when applied to refine the initial estimate provided by the classifier in ATOM, DCTD outperforms the original method (which uses IoU-Net for refinement). DCTD also outperforms other state-of-the-art trackers.

Dataset	Metric	SiamFC [1]	MDNet [9]	DaSiamRPN [13]	SiamRPN++ [5]	ATOM [ <mark>3</mark> ]	$ATOM^\dagger$	DCTD
TrackingNet [7]	Precision (%)	53.3	56.5	59.1	69.4	64.8	66.7	68.9
	Norm. Prec. (%	) 66.6	70.5	73.3	80.0	77.1	78.3	79.5
	Success (%)	57.1	60.6	63.8	73.3	70.3	72.1	73.7
UAV123 [8]	OP <sub>0.50</sub> (%)	-	-	73.6	75*	78.9	79.6	80.1
	OP <sub>0.75</sub> (%)	-	-	41.1	56*	55.7	56.0	59.8
	AUC (%)	-	52.8	58.4	61.3	65.0	65.0	66.5



## Qualitative results for DCTD: https://youtu.be/AAnr0g38UeA

https://youtu.be/JyhgUYpwQ5c

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### Fredrik K. Gustafsson, Uppsala University

 ${\tt fredrik.gustafsson@it.uu.se}$ 

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